

Individual Account Holders Supplement



1 Account Information

Account Name:	Account Type:	Account Number:
<input type="text"/>	<input type="text"/>	<input type="text"/>

2 Additional Account Holder #1

First Name:	Middle Name/Initial:	Last Name:
<input type="text"/>	<input type="text"/>	<input type="text"/>
Social Security Number:	Date of Birth:	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
Home Phone:	Business/Cell Phone:	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
Email Address:	<input type="text"/>	
Legal Address (No PO Boxes):	<input type="text"/>	
City:	State:	Zip:
<input type="text"/>	<input type="text"/>	<input type="text"/>

Gender: <input type="checkbox"/> Male <input type="checkbox"/> Female	Marital Status: <input type="checkbox"/> Married <input type="checkbox"/> Single <input type="checkbox"/> Widowed <input type="checkbox"/> Divorced
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Citizenship: <input type="checkbox"/> U.S. Citizen or Resident Alien <input type="checkbox"/> Other (W-8 and Photo ID Required)	Number of Dependents: <input type="text"/>
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Government ID Type: <input type="checkbox"/> Driver's License <input type="checkbox"/> Passport <input type="checkbox"/> Military ID <input type="checkbox"/> State ID Card	Expiration Date: <input type="text"/>
ID Number: <input type="text"/>	State: <input type="text"/>

Occupation (Select only one):

<input type="checkbox"/> Administrative, Clerical	<input type="checkbox"/> Clergy	<input type="checkbox"/> Craftsman/Skilled Worker	<input type="checkbox"/> Education
<input type="checkbox"/> Homemaker	<input type="checkbox"/> Information Tech	<input type="checkbox"/> Personal Service Provider	<input type="checkbox"/> Proprietor/Professional
<input type="checkbox"/> Public Services	<input type="checkbox"/> Retired	<input type="checkbox"/> Sales	<input type="checkbox"/> Student
<input type="checkbox"/> Unemployed	<input type="checkbox"/> Unskilled Labor		

Business Nature (Select only one. If retired, please choose previous business nature):

<input type="checkbox"/> Agriculture	<input type="checkbox"/> Business Services	<input type="checkbox"/> Construction	<input type="checkbox"/> Energy	<input type="checkbox"/> Financial Services
<input type="checkbox"/> Government	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Industrial	<input type="checkbox"/> Media	<input type="checkbox"/> Non-Profit
<input type="checkbox"/> Personal Investment Company	<input type="checkbox"/> Real Estate	<input type="checkbox"/> Retail	<input type="checkbox"/> Technology	<input type="checkbox"/> Telecommunications
<input type="checkbox"/> Transportation	<input type="checkbox"/> Wholesale			

Employer Name: <small>(If retired, please list previous employer)</small>	<input type="text"/>	Number of Years with Employer:	<input type="text"/>
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- Are you associated with, or employed by, a stock exchange, exchange member, FINRA, or municipal securities dealer?
If yes, name of firm: Relationship/Position:
If yes, obtain and attach the compliance officer's letter of approval ("407 letter"). Failure to include an approval letter may delay account opening.
- Are you or an immediate family member a director, a 10% shareholder, or policy-making executive officer of a publicly traded company?
If yes, name of firm:
- Are you or an immediate family member a senior foreign political official? Yes

3 Additional Account Holder #2

First Name: Middle Name/Initial: Last Name:
 Social Security Number: Date of Birth:
 Home Phone: Business/Cell Phone:
 Email Address:
 Legal Address (No PO Boxes):
 City: State: Zip:

Gender: Male Female Marital Status: Married Single Widowed Divorced

Citizenship: U.S. Citizen or Resident Alien Other (W-8 and Photo ID Required) Number of Dependents:

Government ID Type: Driver's License Passport Military ID State ID Card
 ID Number: State: Expiration Date: MM/DD/YYYY

Occupation (Select only one):

<input type="checkbox"/> Administrative, Clerical	<input type="checkbox"/> Clergy	<input type="checkbox"/> Craftsman/Skilled Worker	<input type="checkbox"/> Education
<input type="checkbox"/> Homemaker	<input type="checkbox"/> Information Tech	<input type="checkbox"/> Personal Service Provider	<input type="checkbox"/> Proprietor/Professional
<input type="checkbox"/> Public Services	<input type="checkbox"/> Retired	<input type="checkbox"/> Sales	<input type="checkbox"/> Student
<input type="checkbox"/> Unemployed	<input type="checkbox"/> Unskilled Labor		

Business Nature (Select only one. If retired, please choose previous business nature):

<input type="checkbox"/> Agriculture	<input type="checkbox"/> Business Services	<input type="checkbox"/> Construction	<input type="checkbox"/> Energy	<input type="checkbox"/> Financial Services
<input type="checkbox"/> Government	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Industrial	<input type="checkbox"/> Media	<input type="checkbox"/> Non-Profit
<input type="checkbox"/> Personal Investment Company	<input type="checkbox"/> Real Estate	<input type="checkbox"/> Retail	<input type="checkbox"/> Technology	<input type="checkbox"/> Telecommunications
<input type="checkbox"/> Transportation	<input type="checkbox"/> Wholesale			

Employer Name: (If retired, please list previous employer) Number of Years with Employer:

- Are you associated with, or employed by, a stock exchange, exchange member, FINRA, or municipal securities dealer?
 If yes, name of firm: Relationship/Position:
If yes, obtain and attach the compliance officer's letter of approval ("407 letter"). Failure to include an approval letter may delay account opening.
- Are you or an immediate family member a director, a 10% shareholder, or policy-making executive officer of a publicly traded company?
 If yes, name of firm:
- Are you or an immediate family member a senior foreign political official? Yes

4 Additional Account Holder #3

First Name: Middle Name/Initial: Last Name:
 Social Security Number: Date of Birth:
 Home Phone: Business/Cell Phone:
 Email Address:
 Legal Address (No PO Boxes):
 City: State: Zip:

Gender: Male Female Marital Status: Married Single Widowed Divorced

Citizenship: U.S. Citizen or Resident Alien Other (W-8 and Photo ID Required) Number of Dependents:

Government ID Type: Driver's License Passport Military ID State ID Card
 ID Number: State: Expiration Date: MM/DD/YYYY

Occupation (Select only one):
 Administrative, Clerical Clergy Craftsman/Skilled Worker Education
 Homemaker Information Tech Personal Service Provider Proprietor/Professional
 Public Services Retired Sales Student
 Unemployed Unskilled Labor

Business Nature (Select only one. If retired, please choose previous business nature):
 Agriculture Business Services Construction Energy Financial Services
 Government Healthcare Industrial Media Non-Profit
 Personal Investment Company Real Estate Retail Technology Telecommunications
 Transportation Wholesale

Employer Name: (If retired, please list previous employer) Number of Years with Employer:

- Are you associated with, or employed by, a stock exchange, exchange member, FINRA, or municipal securities dealer?
 If yes, name of firm: Relationship/Position:
If yes, obtain and attach the compliance officer's letter of approval ("407 letter"). Failure to include an approval letter may delay account opening.
- Are you or an immediate family member a director, a 10% shareholder, or policy-making executive officer of a publicly traded company?
 If yes, name of firm:
- Are you or an immediate family member a senior foreign political official? Yes

5 Service Instructions

In accordance with the terms of the account, I select the following option for the automatic investment of interest and dividend income as well as any other cash balances in my account. In absence of a selection, I agree that my cash will be placed in the Bank Deposit Account.

Cash Sweep Option. Please select only one. (If left unchecked, 'FDIC-Insured Sweep' will be selected):
 FDIC-Insured Bank Deposit Sweep
 Do not sweep proceeds to Money Market
 Settle by Check (please select one):
 Mail Checks: Daily Mail Checks: Semi-Weekly Mail Checks: Monthly

6 Certification

By Signing below, I/We agree to all terms and conditions listed below, and all terms and conditions contained within the attached applicable agreements:

A. Tax Certification (Select Only One):

- U.S. Person or Resident Alien: Under penalties of perjury, I certify that:**
 - 1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me); and
 - 2) I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
 - 3) I am a U.S. citizen or other U.S. person; and
 - 4) The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct. (NOTE: The FATCA code is not applicable for accounts maintained in the United States.)

You must cross out item 2) above if you have been notified by the IRS that you are currently subject to backup withholding because of underreporting interest or dividends on your tax return.
- Non-Resident Alien Individuals and Foreign Entities:** By checking this box, I certify that I am not a U.S. citizen, resident alien or other U.S. entity for U.S. tax purposes and I will provide the appropriate Form W-8 with this application. If any joint owner of this account provides an IRS Form W-9, I understand all income will be reported to that person or entity under the rules in Chapters 3, 4, and 61 of the U.S. Internal Revenue.

- B. I have attached a POWER OF ATTORNEY if directions may be taken by someone other than me.
- C. I have reviewed the information contained in this application and attest to its accuracy.
- D. I understand that THE PRODUCTS OFFERED ARE NOT FDIC INSURED, ARE NOT OBLIGATIONS OF A BANK, ARE NOT GUARANTEED BY A BANK, AND INVOLVE INVESTMENT RISKS, INCLUDING THE POSSIBLE LOSS OF PRINCIPAL.
- E. I acknowledge receipt of the CLIENT AGREEMENT and agree to its terms and conditions.
- F. I understand that the Schedule of Fees may change from time to time and agree to be bound by such changed schedule of fees as appears at www.tradepmr.com.
- G. I have read, understand, and agree to the terms of the Limited Power of Attorney and Authorization to pay fees to Agent/Advisor.
- H. All decisions relating to my investment or trading activity shall be made solely by me or my authorized Agent/Advisor identified on this New Account Application or subsequently to TradePMR in writing.
- I. TradePMR is authorized to accept and act upon the instructions of my Agent/Advisor with respect to my account in accordance with this Agreement until revoked in writing.
- J. My Advisor is not affiliated with or an agent of TradePMR and is not authorized to act or make representations on TradePMR's behalf.
- K. I understand that TradePMR does not give investment, legal, or tax advice and will not advise me concerning the nature, potential value, or suitability of any particular securities transaction or investment strategy.
- L. I understand that TradePMR is not responsible for and will not review, monitor, or supervise the suitability of the investment or frequency of trading activity in my account.
- M. I shall indemnify and hold harmless TradePMR and its officers, directors, employees, agents, and affiliates from and against any and all losses, claims or financial obligations that may arise from any act or omission of my Agent/Advisor with respect to my account.
- N. If my Brokerage Account has a Margin Account feature, my Agent/Advisor has my authorization to trade on margin and to sell short.
- O. I understand that information collected on parties associated with this account is subject to verification as mandated by the USA PATRIOT ACT.
- P. I acknowledge having received TradePMR's most recent Privacy Policy and Business Continuity Plan Summary.

Authorized Signatures

Account Control. All Individuals, Joint Owners, and those authorized to establish and control accounts must sign.

THE INTERNAL REVENUE SERVICE DOES NOT REQUIRE YOUR CONSENT TO ANY PROVISION OF THIS DOCUMENT OTHER THAN THE CERTIFICATIONS REQUIRED TO AVOID BACKUP WITHHOLDING.

THE ATTACHED CLIENT AGREEMENT CONTAINS A PRE-DISPUTE ARBITRATION CLAUSE LOCATED ON PAGE 1, PARAGRAPH 5, UNDER THE HEADER "ARBITRATION." BY EXECUTING THIS DOCUMENT I AGREE TO BE BOUND BY THE PRE-DISPUTE ARBITRATION CLAUSE. THE UNDERSIGNED HEREBY ACKNOWLEDGES RECEIPT OF A COPY OF THE CLIENT AGREEMENT WITH THE ACCOUNT DISCLOSURES AND HEREBY AGREES TO THE TERMS OF THESE AGREEMENTS.

Additional Account Holder #1 Signature	Print Name	Social Security Number	Date
Additional Account Holder #2 Signature	Print Name	Social Security Number	Date
Additional Account Holder #3 Signature	Print Name	Social Security Number	Date

Advisor Acceptance:	
Advisor Name	
Advisor Signature	Date:

TradePMR Acceptance:	
Registered Representative	Date:
Supervisory Principal	Date: