

Mutual Fund Periodic Investment Plan (PIP)



1 Account Information

Account Number:	Account Name:	Account Type:
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2 Investment Instructions

We will establish a PIP based on the instructions you complete below. Please allow at least 3 business days for the Periodic Investment Plan to be implemented. If the date you select falls on a weekend or holiday, the investment will be made on the next business day. If the date you select does not occur each month (i.e. 29, 30 or 31), the investment will be made on the first business day of the next month. Please allow three business days from the date you request an EFT contribution for the funds to transfer. If there are insufficient funds in the account on trade day, the PIP trade will create a debit. In the instructions below, specify whether you are adding a new PIP (N=New), changing an existing PIP (C=Change), or removing an existing PIP (R=Remove). If other investment frequencies are desired, please contact the Trading Department. Please read the current Prospectus for each fund which you intend to purchase.

N, C, R	Action (Buy/Sell)	Symbol	Amount (\$25 minimum)	Investment Frequency (select one)			Start Date	End Date (if applicable)
				Weekly (52/Year)	Monthly (12/Year)	Quarterly (4/Year)		
_____	_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
_____	_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____
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_____	_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	_____	_____

3 Certification

The Advisor has used discretion or has obtained authorization from the client to submit this request. *(ADVISOR MAY SIGN ONLY IF THIS BOX IS CHECKED)*

I/We hereby request that TradePMR, Inc ("TPMR") and First Clearing, LLC ("FCC") enroll me in its Periodic Investment Plan (the "PIP"). This agreement and the information included on page two of this form set forth the terms and conditions that govern my participation in the PIP, and I hereby agree to abide by all such terms and conditions, as of the date of execution. I authorize TPMR and FCC to initiate periodic purchases of mutual fund shares as specified above in Section 2, Investment Instructions, as part of the PIP, and request that TPMR/FCC invest these funds to my account in accordance with my investment instructions. I understand that I will not receive confirmation of each periodic purchase but acknowledge that all transactions will be reflected on my monthly or quarterly statement. Furthermore, I authorize TPMR and FCC to continue making periodic purchases of the mutual fund shares as specified in Section 2, Investment Instructions, until such time as TPMR or FCC receives written notification from me or my Advisor to amend my investment selection or to terminate my participation in the PIP. I acknowledge that TPMR and FCC reserve the right to cancel the PIP at any time upon providing me with reasonable notice. I have read the terms and conditions on page two of this form and agree to its terms. I acknowledge the PIP is subject to the terms and conditions of the Agreement governing the account referenced above in Section 1, Account information, which contains a governing law and pre-dispute arbitration clause provision.

Account Holder/Advisor Signature	Print Name	Date
Joint Signature	Print Name	Date

Additional Disclosures and Conditions

Mutual Fund Minimum Investments: This agreement is subject to any and all minimum investment limitations imposed by the mutual fund company or companies selected by the Participant. If the Participant instructs TradePMR, Inc ("TPMR") or First Clearing, LLC ("FCC") to make a periodic monthly purchase of a mutual fund in an amount less than that fund's minimum purchase requirement, no purchase shall be made until sufficient assets accumulate to meet the fund's minimum purchase requirement. If the Participant instructs TPMR or FCC to make a periodic purchase of a mutual fund share in an amount that exceeds the amount of available cash in the account, a debit balance will be created. A purchase in the amount indicated in Section 2, Investment Instructions, on page one, will be attempted at the next purchase date. (If the periodic investment purchase date falls on a weekend or holiday, the purchase will be made on the next business day.)

Investment Direction: The Participant acknowledges that the Participant will direct the investment of contributions to the Participant's TPMR/FCC account and make all of the investment decisions relating to the assets in that account, except for those assets that are subject to a separate TPMR/FCC written investment advisory contract. The Participant understands that TPMR, FCC, its agent, or employees, do not have discretionary authority over the Participant's account, and any investment decision the Participant makes will be the Participant's sole responsibility. The Participant understands that TPMR or FCC may provide research or other investment information that enables the Participant to make informed, independent investment decisions with regard to the assets in the Participant's retirement account. The Participant acknowledges that TPMR or FCC may provide such information from time to time as part of the brokerage services for the Participant's account, but such information does not affect the Participant's responsibility for controlling the investment decisions of the Participant's retirement account, nor does it make TPMR or FCC a fiduciary of the Participant's account.

Reaffirmation and Termination of Agreement: TPMR or FCC may, at its discretion, require periodic written reaffirmation of the Participant's investment instructions regarding the Periodic Investment Plan (the "PIP") and may terminate this service at any time. The Participant may amend his or her investment selections or terminate participation in the PIP by providing written notification. (Please note: Termination of the PIP may take up to five business days.) TPMR or FCC may modify the terms of the PIP, including fees and other charges, at any time upon providing reasonable notice to the Participant.

Indemnification Agreement: The Participant agrees to indemnify TPMR, FCC, Introducing Firm, their officers, employees, agents, successors, and assigns, and further agrees to hold these parties harmless against any and all claims or liabilities that may arise by virtue of TPMR, FCC, and Introducing Firm acting upon the Participant's instructions as provided in the document. This agreement to indemnify TPMR, FCC, Introducing Firm, their officers, employees, agents, successors, and assigns is unlimited and shall be binding upon the Participant, the Participant's heirs, successors, and assigns.

Periodic Investment Program Disclosure: The Participant acknowledges that a program of regular investments does not guarantee a profit and does not protect against a loss in a declining market. Participants should consider their financial ability to continue their purchases through periods of low price levels.

Limited Liability: The Participant agrees that TPMR, FCC, and Introducing Firm shall have no liability for cost or damages resulting from inaccurate information that the Participant has provided, or from the Participant's failure to update any information which the Participant has provided. The Participant further agrees that TPMR, FCC, and Introducing Firm will not be liable for any losses the Participant incurs in connection with purchases under the PIP unless TPMR, FCC, and Introducing Firm are grossly negligent in fulfilling their responsibilities under this document. In no event will TPMR, FCC, and Introducing Firm be liable for consequential, special, or indirect damages of losses incurred by the Participant. TPMR, FCC, and Introducing Firm will undertake to make transfers as provided in the document, but will not be responsible for damages of any nature resulting from delays, failures, omissions, or errors relating to such purchases.